

FORM PTO-1390 (REV. 5-93)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY 10191/1808
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		BUCKET NUMBER U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09 / 889838</b>
INTERNATIONAL APPLICATION NO. PCT/DE00/03545	INTERNATIONAL FILING DATE (10.10.00) 10 October 2000	PRIORITY DATE(S) CLAIMED (27.11.99) 27 November 1999
TITLE OF INVENTION <b>PLASMA ETCHING METHOD HAVING PULSED SUBSTRATE ELECTRODE POWER</b>		
APPLICANT(S) FOR DO/EO/US <b>LAERMER, Franz and SCHILP, Andrea</b>		
Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) immediately rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unsigned).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11. to 16. below concern other document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> A substitute specification and a marked up version thereof.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: International Search Report and Form PCT/RO/101.</p>		

U.S. APPLICATION NO. if known, see 37 C.F.R.1.5 • 09/889838		INTERNATIONAL APPLICATION NO. PCT/DE00/03545	ATTORNEY DOCKET NUMBER 10191/1808
17. <input checked="" type="checkbox"/> The following fees are submitted:		<u>CALCULATIONS</u>   <u>PTO USE ONLY</u>	
<b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO ..... \$860.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$690.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$710.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1,000.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$100.00			
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). <span style="float: right;">\$</span>			
Claims	Number Filed	Number Extra	Rate
Total Claims	21 - 20 =	1	X \$18.00 <span style="float: right;">\$ 18</span>
Independent Claims	1 - 3 =	0	X \$80.00 <span style="float: right;">\$ 0</span>
Multiple dependent claim(s) (if applicable)			+ \$270.00 <span style="float: right;">\$ 0</span>
<b>TOTAL OF ABOVE CALCULATIONS =</b>			
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). <span style="float: right;">\$</span>			
<b>SUBTOTAL =</b>			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). <span style="float: right;">\$</span>			
<b>TOTAL NATIONAL FEE =</b>			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property <span style="float: right;">\$</span>			
<b>TOTAL FEES ENCLOSED =</b>			
		Amount to be: refunded	\$
		charged	\$
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>11-0600</u> in the amount of \$878.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>11-0600</u> . A duplicate copy of this sheet is enclosed.			
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.			
By: <u>Richard L. Mayer (Reg. No. 41,172)</u>			
<u>Richard L. Mayer</u> SIGNATURE			
Kenyon & Kenyon One Broadway New York, New York 10004		Richard L. Mayer, Reg. No. 22,490 NAME	
CUSTOMER ID NO. 26646		7/23/01 DATE	

09/889838  
JC17 Rec'd PCT/PTO 23 JUL 2001

**EXPRESS MAIL CERTIFICATE**

**“EXPRESS MAIL” MAILING LABEL NUMBER** EL244504767US

**DATE OF DEPOSIT** 7/23/01

**TYPE OF DOCUMENT** National Phase Application

**RE:** LITTERMER, Franz, et al.

**SERIAL NO.** To be Assigned **FILING DATE** 7/23/01

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE “EXPRESS MAIL POST OFFICE TO ADDRESSEE” SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE, BY BEING HANDED TO A POSTAL CLERK OR BY BEING PLACED IN THE EXPRESS MAIL BOX BEFORE THE POSTED DATE OF THE LAST PICK UP, AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

Chandru Seenarai

(PRINTED NAME OF PERSON MAILING PAPER OR FEE)

Chandru Seenarai

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

Plasma Etching Method Having Pulsed Substrate  
Electrode Power.